

COI Disclosure Program Instructions

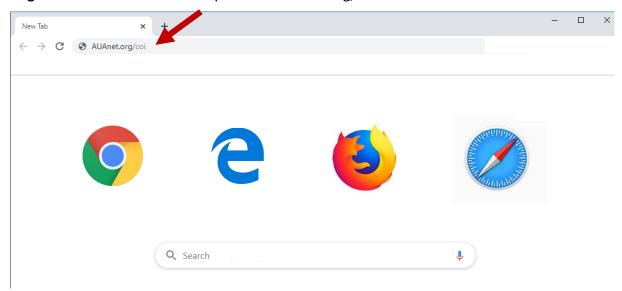
December 2021

The AUA Conflict of Interest Policy requires that prior to participating in programs all individuals make full disclosures of all relevant relationships, business transactions, presentations or publications related to healthcare or AUA activities. All items for the past two years should be disclosed and are listed under the active tab. Future disclosures may be added to a user's active record.

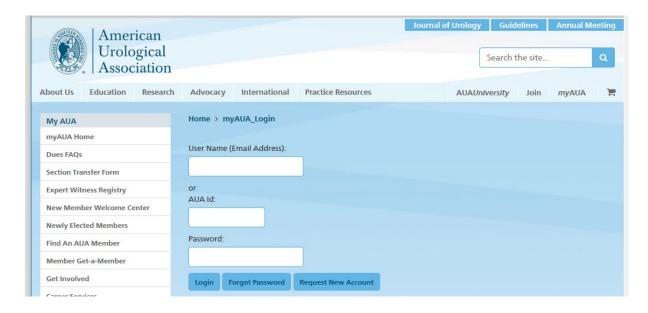
Records must be updated after April 1 to be considered current for the Association Year (June-May).

NOTE: Participation in certain AUA activities may require you to review and confirm disclosures more frequently than once a year.

Log in Instructions - Enter the quick link AUAnet.org/coi in the address bar of a web browser.



Log in with the user email address and AUA password.



Depending on the user's status, members will see one of two options.

Option 1 – New to the disclosure system

Users may add a new disclosure or declare that they have nothing to disclose. See pages 5 and 6 for a sample of the add a new disclosure form.



Test Urologist Logout

Conflict of Interest Disclosures

The AUA requires that prior to participating in programs all individuals make full disclosures of all financial and intellectual conflicting interests (COIs), i.e., private or institutional interests of individuals which may, under certain circumstances, compete or conflict with their duties owed to the AUA. These COIs include affiliations, ownership interests, investment interests, intellectual property interests, business or employment relationships, scientific studies or trials, presentations or publications related to healthcare or AUA activities.

All items for the past two years should be disclosed and are listed under the active tab. Future disclosures may be added to your active record.

Editing Disclosures: Confirmed disclosures are part of a member's permanent record and cannot be deleted. Members may edit the company type, specialty area, description or end date. If a disclosure is no longer current select edit to add an end date to conclude the relationship.

If you have questions, please review the

- Online Disclosure Program Instructions 🖟
- · AUA Principles, Policies and Procedures for Managing Conflict of Interest
- Frequently Asked Questions (FAQ) document 🖾

Disclosure Record for Test Urologist (AUA ID 00833290)

Add New Disclosure

You may either add a disclosure, or confirm that you have nothing to disclose.

By confirming your COI Disclosure Record, you are certifying that the information is accurate and true. This serves as your electronic signature.

I Have Nothing to Disclose

Option 2 – Returning Member with active or inactive disclosures

Active Tab

Contains disclosed items within the 24 months (also may include future disclosure dates).

Users may confirm their record, add a new disclosure, or edit a current disclosure.



Inactive Tab

Provides a historical list of disclosed items (more than two years old up to five years old).

Content may be edited using the renewal button. New dates must be applied to create an active disclosure item. The inactive disclosure item will be retained as part of the member's historical record. Users must return to the active tab to confirm their record.

Note: Users without historical information in the AUA database will not see the inactive tab displayed.



Add a Disclosure Form

Robotic Surgery

Non-urology Healthcare Related

Add Disclosure **Disclosure Requirements** The AUA requires that prior to participating in programs all individuals make full disclosures of all financial and intellectual conflicting interests (COIs), i.e., private or institutional interests of individuals which may, under certain circumstances, compete or conflict with their primary duties owed to the AUA. Please disclose affiliations or relationships, whether compensated or not, with (1) any entity researching and developing, producing, marketing, selling, re-selling or distributing health care products used by or on patients; (2) healthcare publications; or (3) other medical associations. Relationships should be reported regardless of whether or not compensation was received. **Company or Organization Types** To whom does this disclosure apply? * Medical Association Self Family Medical Device Company or Distributor Pharmaceutical or Biologics Company or Distributor What is the name of the company or organization? * Nutraceutical (healthcare supplements) Health Publishing/Medical Journals À Diagnostic Laboratory (with proprietary products) What is the type of company or organization? * Diagnostic Laboratory (without proprietary products) Please select a type Other (please specify) Is this a financial relationship? * 1 O Yes O No **Relationship Definitions** What is the type of relationship? * (1) Users may select the information Leadership Position () button to read definition of the Health Publishing 6 relationship type (see appendix Consultant or Advisor () Meeting Participant or Lecturer () for a printed list of these Scientific Study or Trial 6 definitions). Investment Interest 6 Intellectual Property Interest () Owner, Healthcare Related Company 1 Employee 0 Other 1 What is the urologic specialty area for this relationship? * O General Urology Endourology/Stone Disease O Erectile Dysfunction O Female Pelvic Medicine and Reconstructive Surgery Laparoscopic Surgery Male Infertility Oncology Pediatrics Male Genitourinary Reconstruction/Trauma Renal Transplantation

Add a Disclosure Form (continued)

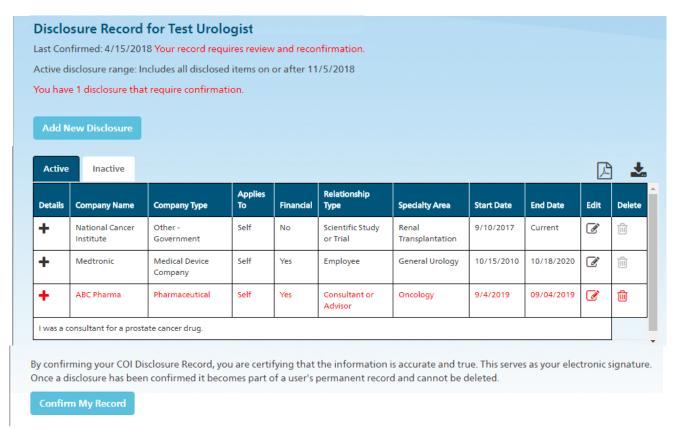
| Optional: Provide a brief description of the nature of the relation | onship being disclosed (500 Character Limit) | | | |
|---|---|--|--|--|
| Disclosure Start Date (MM/DD/YYYY) * | Description field This is optional for most users. A unique message will appear for use where additional narrative is required (e.g., members of practice guideline panels and some committees). | | | |
| 11/04/2019 | | | | |
| Disclosure End Date (MM/DD/YYYY) | | | | |
| MM/DD/YYYY | | | | |
| Is this disclosure current? | | | | |
| Current (end date to be determined) | | | | |
| Add Disclosure Reset Form Return | | | | |

Record Review

New items are indicated in red and may be edited or deleted prior to confirmation.

Users may select the + button under the details column to view the narrative content.

After users reviewed their record select "Confirm My Record" to accept the changes.



Notes on Editing

Prior to confirmation users may edit any field in the draft disclosure (shown in red). Users may also delete a draft disclosure.

Once a disclosure has been confirmed it becomes part of a user's permanent record and cannot be deleted. The following fields may be edited after confirmation:

- Company Type
- Specialty Area
- Description
- End Date

All edited records require reconfirmation.

Confirmation message

Once the record is confirmed users will receive a thank you message and the confirmation date will be updated as of today.

Conflict of Interest Disclosures

Disclosure Record for Test Urologist

Last Confirmed: 11/4/2019

Active disclosure range: Includes all disclosed items on or after 11/5/2018

Thank you for confirming your record.

You may print this confirmation for your files, add a new disclosure or close this session and return to myAUA.

Save a copy of the disclosure record

Users may download a PDF or spreadsheet of their active record by using these buttons.



Active

Inactive

| Details | Company Name | Company Type | Applies To | Financial | Relationship Type | Specialty Area | Start Date | End Date | Edit | Delete |
|---------|------------------------------|---------------------------|---------------|-----------|------------------------------|--------------------------|------------|------------|----------|--------|
| + | National Cancer Institute | Other - Government | Self | No | Scientific Study or Trial | Renal Transplantation | 9/10/2017 | Current | B | |
| + | Medtronic | Medical Device Company | Self | Yes | Employee | General Urology | 10/15/2010 | 10/18/2020 | Ø | Î |
| + | ABC Pharma | Pharmaceutical | Self | Yes | Consultant or Advisor | Oncology | 9/4/2019 | 09/04/2019 | Ø | Î |

Close



Online COI Disclosure Program Appendix – Relationship Definitions

Disclosure Requirements

The AUA requires that prior to participating in programs all individuals make full disclosures of all relevant financial and intellectual conflicting interests (COIs), i.e., private or institutional interests of individuals which may, under certain circumstances, compete or conflict with their primary duties owed to the AUA. Please disclose affiliations or relationships, whether compensated or not, with (1) any entity researching and developing, producing, marketing, selling, re-selling or distributing health care products used by or on patients; (2) healthcare publications; or (3) other medical associations.

Relationships should be reported regardless of whether or not compensation was received.

What is the type of relationship?

Relationships to be disclosed include leadership positions, ownership interests, investment interests, intellectual property interests, business or employment relationships, and health publishing relationships with entities related to healthcare or AUA activities.

Leadership Position

Board, officer, trustee, editor or other leadership position in any commercial entity, society or organization relating to healthcare.

Health Publishing

Includes service on editorial boards, paid authorship or contributorship, service as a paid peer reviewer and/or any publishing royalty arrangements.

Consultant or Advisor

Includes service on research, product development, marketing, advisory boards or quality assurance/safety monitoring boards related to healthcare products or services.

Meeting Participant or Lecturer

Honoraria, reimbursements or in-kind payments received as faculty members, speakers bureau, industry sponsored lectures, presenters, chairs, proctors, preceptor or consultants. Any role beyond meeting attendee should be disclosed.

Scientific Study or Trial

Includes research as a principal investigator as well as grant support for scientific studies or trials within a member's institution where the member has direct knowledge of these activities.

Investment Interest

Personal or family ownership of stocks or stock options (or dividends or revenue received from the same) issued by publicly traded companies researching and developing, producing, marketing, selling, or distributing health care products or services used by or on patients. Passive stock ownership such as mutual funds need not be disclosed.

Intellectual Property Interest

Owner of any intellectual property interest (e.g., patent, copyright, trademark) for healthcare products or services used by or on patients, and/or receipt of royalties from such intellectual property interest.

Owner, Healthcare Related Company

Ownership of stocks, stock options or other ownership interest in any private healthcare entity.

Does this entity (1) currently produce, market, sell, re-sell, or distribute healthcare products used by or on patients or (2) has it begun the governmental regulatory approval process for any of its products (e.g., submitted an application for Investigational New Drug or Premarket Approval)? (Yes/No)

Employee

Wages or salaries received from (1) any entity researching and developing, producing, marketing, selling, re-selling or distributing health care products used by or on patients; (2) healthcare publications; or (3) other medical associations. Employment in a urology practice or in the US Military does not need to be disclosed.